

**Notice of Allowability**

Application No.

09/810,703

Applicant(s)

WEISS, KENNETH P.

Examiner

Art Unit

Beemnet W. Dada

2135

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address--

All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. **THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS.** This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308.

1. ☒ This communication is responsive to an after final filed on January 02, 2007.
2. ☒ The allowed claim(s) is/are 1, 3-9, 16, 18-20.
3. ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some\* c) ☐ None of the:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)).

\* Certified copies not received: \_\_\_\_\_.

Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application.  
**THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.**

4. ☐ A SUBSTITUTE OATH OR DECLARATION must be submitted. Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL PATENT APPLICATION (PTO-152) which gives reason(s) why the oath or declaration is deficient.
5. ☐ CORRECTED DRAWINGS ( as "replacement sheets") must be submitted.
- (a) ☐ including changes required by the Notice of Draftperson's Patent Drawing Review ( PTO-948) attached
- 1) ☐ hereto or 2) ☐ to Paper No./Mail Date \_\_\_\_\_.
- (b) ☐ including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date \_\_\_\_\_.
- Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d).
6. ☐ DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.

**Attachment(s)**

- |  |   |
|--|---|
| 1. <input type="checkbox"/> Notice of References Cited (PTO-892)   | 5. <input type="checkbox"/> Notice of Informal Patent Application                     |
| 2. <input type="checkbox"/> Notice of Draftperson's Patent Drawing Review (PTO-948)                        | 6. <input type="checkbox"/> Interview Summary (PTO-413),<br>Paper No./Mail Date _____ |
| 3. <input type="checkbox"/> Information Disclosure Statements (PTO/SB/08),<br>Paper No./Mail Date _____    | 7. <input checked="" type="checkbox"/> Examiner's Amendment/Comment                   |
| 4. <input type="checkbox"/> Examiner's Comment Regarding Requirement for Deposit<br>of Biological Material | 8. <input type="checkbox"/> Examiner's Statement of Reasons for Allowance             |
|  | 9. <input type="checkbox"/> Other _____   |

### EXAMINER'S AMENDMENT

An extension of time under 37 CFR 1.136(a) is required in order to make an examiner's amendment which places this application in condition for allowance. During a telephone conversation conducted on January 31, 2007, with applicant's representative, John N. Anastasi, Reg. No. 37,765, applicant's representative requested an extension of time for 1 MONTH(S) and authorized the Director to charge Deposit Account No. 50/2762 the required fee for this extension and authorized the following examiner's amendment. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the payment of the issue fee.

The application has been amended as follows:

In the claims:

1. (Currently Amended) A secure registry system including:
  - a database containing selected data on each of a plurality of entities, a code being stored with at least selected portions of said data for at least selected said entities restricting access to said selected portions to entities defined by each said code;
    - a processor coupled to the database;
    - an identity mechanism which permits each entity to securely identify itself to the system;
    - an input mechanism which determines if an identified entity is authorized to enter data into the database, and permits an authorized entity to enter data into the database;
    - an access mechanism which permits access requests to be made to said database, each such request including an indication of data requested and at least one of a coded indication of ~~the an~~ entity requesting the access and the status of such entity;
    - an entitlement mechanism, including at least in part said identity mechanism, which determines from at least one of provided coded indication of entity and coded indication of entity

status whether ~~the~~ an entity is entitled to access the requested data, the mechanism granting access to the requested data if ~~the~~ an entity is entitled and denying access if the an entity is not entitled; and

a restriction mechanism which permits entities to identify portions of the data relating to them for which there is to be restricted access and to store ~~the~~ an appropriate code with each such portion, said restriction mechanism including a change mechanism for permitting an entity identified by said identity mechanism to change entities to whom access is granted for at least selected portions of the data for ~~the~~ an entity.

3. (Currently Amended) A system as claimed in claim 1 wherein each entity has a code regimen by which it can be identified by said identity mechanism and a distress code regimen which ~~the~~ an entity may employ when making at least one of entries and changes in the database to indicate that such is being done under duress; and

a protection mechanism operative in response to receipt of a distress code regimen for initiating appropriate action for the protection of ~~the~~ an entity.

5. (Currently Amended) A system as claimed in claim 4 wherein at least selected data requesting entities have a mechanism included in their processors which automatically adds their status code to each data request sent by ~~the~~ an entity to the system.

8. (Currently Amended) A system as claimed in claim 1 wherein an entity requesting data has a mechanism included in their processor which automatically includes with at least selected data requests a coded status indication and a form into which requested information is to be provided; and

wherein said entitlement mechanism provides information from the database to which ~~the~~ an entity is entitled in the form provided by ~~the~~ an entity.

9. (Currently Amended) A system as claimed in claim 1 wherein relevant data is stored in additional databases outside said system;

said system including a retrieval mechanism which, if ~~the~~ an entity is entitled to receive such data, retrieves relevant data from a said additional database and provides it to ~~the~~ an entity requesting the data in a manner transparent to ~~the~~ an entity.

16. (Currently Amended) A method for providing a secure registry system including a database containing selected data on each of a plurality of entities, a code being stored with at least selected portions of said data for at least selected said entities restricting access to said selected portions to entities defined by each said code, the method comprising;

permitting each entity to securely identify itself;

determining if an identified entity is authorized to enter data into the database, and  
permitting an authorized entity to enter data into the database:

permitting access requests to be made to said database, each such request including an indication of data requested and at least one of a coded indication of ~~the~~ an entity requesting the access and the status of such entity;

determining from at least one of provided coded indication of entity and coded indication of entity status whether ~~the~~ an entity is entitled to access the requested data, and granting access to the requested data if ~~the~~ an entity is entitled and access being denied if ~~the~~ an entity is not entitled; and

permitting entities to identify portions of the data relating to them for which there is to be restricted access and to store ~~the~~ an appropriate code with each such portion, said act of permitting further comprising permitting an entity to change entities to whom access is granted for at least selected portions of the data for ~~the~~ an entity.

18. (Currently Amended) The method as claimed in claim 16 wherein each entity has a code regimen by which it can be identified and a distress code regimen which ~~the~~ an entity may employ when making at least one of entries and changes in the database to indicate that such is being done under duress further comprising in response to receipt of a distress code regimen, initiating appropriate action for the protection of ~~the~~ an entity.

20 (Currently Amended) The method as claimed in claim 16 wherein relevant data is also stored in additional databases outside said system, the method further comprising:

if ~~the~~ an entity is entitled to receive such data, retrieving relevant data from a said additional database and providing it to the entity requesting the data in a manner transparent to ~~the~~ an entity.

### ***Conclusion***

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Beemnet W. Dada whose telephone number is (571) 272-3847. The examiner can normally be reached on Monday - Friday (9:00 am - 5:30 pm).

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Kim Y. Vu can be reached on (571) 272-3859. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Application/Control Number: 09/810,703

Page 6

Art Unit: 2135

Beemnet Dada

January 31, 2007

A handwritten signature in black ink, appearing to read 'Kim Vu', with a large loop at the beginning and a trailing flourish.

KIM VU  
SUPERVISORY PATENT EXAMINER  
TECHNOLOGY CENTER 2100